PHLY-24.738 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffry Jovan Philyaw

Serial No.: 09/382,372 Confirmation No.: 5133

Filed: August 24, 1999

Group: 2144

Examiner: Paul H. Kang

For: METHOD AND APPARATUS FOR MATCHING A USER'S

USE PROFILE IN COMMERCE WITH A BROADCAST

Mail Stop 16 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

REQUEST FOR REFUND

On March 14, 2007, Applicant filed a Petition for Revival of an Application for Patent Abandoned Unavoidably Under 37 CFR 1.137(a) in the above captioned case with the Issue Fee Transmittal. (A copy of this document is attached hereto.) However, \$250.00 was forwarded to the Patent & Trademark Office with the document of March 14, 2007. (A copy of the Fee Sheet is attached hereto.) Applicant hereby requests a refund of \$250.00 be deposited in our Deposit Account No. 20-0780/PHLY-24,738 of Howison & Arnott, L.L.P.

Respectfully submitted, HOWISON & ARNOTT, L.L.P. Attorneys for Applicants

/Gregory M. Howison, Reg. #30,646/ Gregory M. Howison Registration No. 30,646

GMH/dd P.O. Box 741715 Dallas, Texas 75374-1715 972/479-0462 March 14, 2007 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMS control number.

Docket Number (Optional) PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT PHI V-24 738 ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a) First Named Inventor: JEFFRY JOVAN PHILYAW Art Unit: 2144 Examiner: PAUL H. KANG Application Number: 09/382,372 Filed: AUGUST 24, 1999 Title: METHOD AND APPARATUS FOR MATCHING A USER'S USE PROFILE IN COMMERCE WITH A BROADCAST Attention: Office of Petitions Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282. The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United Sates Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained. APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION. NOTE: A grantable petition requires the following items: (1) Petition fee (2) Reply and/or issue fee. (3) Terminal disclaimer with disclaimer fee-required for all utility and plant applications filed before June 8, 1995, and for all design applications; and (4) Adequate showing of the cause of unavoidable delay. 1. Petition fee Small entity - fee \$ 250.00 (37 CFR 1.17(I)). Applicant claims small entity status. See 37 CFR 1.27 Other than small entity - fee \$_____ (37 CFR 1.17(I)). 2. Reply and/or fee A The reply and/or fee to the above-noted Office action in the form of _____ (identify the type of reply): has been filed previously on is enclosed herewith B The issue fee of \$ 700.00 has been filed previously on _____ is enclosed herewith

[Page 1 of 3]

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. competer, including garantering, pepseing, aim such image are competed application from the first person of the amount of time your equite to complete this form and/or suggestion for educing this burdler, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Mexandria, V.A. 22313-1450. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Absandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a) 3. Terminal disclaimer with disclaimer fee Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required. A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63). 4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1,137(a) was unavoidable, is enclosed. WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. /Gregory M. Howison, Reg. #30,646/ 2007-03-14 Date Signature GREGORY M. HOWISON Registration Number, if applicable Typed or printed name P.O. BOX 741715 972-479-0462 Address Telephone Number DALLAS, TEXAS 75374-1715 Address Enclosure Fee Payment ☐ Reply Terminal Disclaimer Form Additional sheets containing statements establishing unavoidable delay ISSUE FEE CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a)) I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition. Commissioner for Patents. P.O. Box 1450. Alexandria, VA 22313-1450 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300. Date Typed or printed name of person signing certificate

| PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a) | | | | | |
|---|--|---|--|--|--|
| IOTE: | | ing of the cause of unavoidable d | | all applicants or by any other | |
| /Grego | ry M. Howison, Re | eg. #30,646/ | | 2007-03-14 | |
| - | | Signature | - | Date | |
| GRE | GORY M. HOWIS | ON | ; | 30,646 | |
| | | Typed or printed name | F | Registration Number, if applicab | |
| | (In the space provid | ed below, please explain in detail | the reasons for the dela | y in filing a proper reply.) | |
| The N Prope | otice of Allowance r forwarding delay | , mailed October 25, 2006, wa ed receipt of the Notice of Allo | s delivered to the wro wance until February | ong box by the Post Office. 19, 2007. | |
| Please | e see the attached | copy of the Notice of Allowan | ce with Envelope. | | |
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Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Eneroy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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NOTICE OF ALLOWANCE AND FEE(S) DUE

25883 7590 10/25/2006 HOWISON & ARNOTT, L.L.P P.O. BOX 741715

DALLAS, TX 75374-1715

EXAMINER

KANG, PAUL R

ART INIT PAPER NIMBER

2144 DATE MAIL ED: 10/25/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/382,372 | 08/24/1999 | JEFFRY JOVAN PHILYAW | PHLY-24738 | 5133 |

TITLE OF INVENTION: METHOD AND APPARATUS FOR MATCHING A USER'S USE PROFILE IN COMMERCE WITH A BROADCAST

| APPLN, TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$700 | \$0 | \$0 | \$700 | 01/25/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT.

PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS.

THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 3T CFR 1.313 AND MYPEF 1304.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION AS SHOWN ABOVE, THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

PREV. PAID ISSUE FEE

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Date

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25883 7590 10/25/2006 HOWISON & ARNOTT, L.L.P

HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

APPLN. TYPE

nonprovisional

Authorized Signature

Certificate of Mailing or Transmission

I hereby certify that his Fee(s) Transmistal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (51): 273-2885, on the date indicated below.

Depositor's name
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TOTAL FEE(S) DUE

\$700

DATE DUE

01/25/2007

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 09/382,372
 08/24/1999
 JEFFRY JOVAN PHILYAW
 PHLY-24/38
 5133

PUBLICATION FEE DUE

\$0

TITLE OF INVENTION: METHOD AND APPARATUS FOR MATCHING A USER'S USE PROFILE IN COMMERCE WITH A BROADCAST

ISSUE FEE DUE

\$700

| KANG, PAUL H | 2144 | 709-238000 | | |
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| Change of correspondence address or indication of FR 1.563). Change of correspondence address (or Change Address form PTO/SB/122) attached. FEC Address' indication for Fec Address' In-PTO/SB/47; Rev 03-02 or more recent) attached. Number is required. | of Correspondence | or agents OR, alternativ | 3 registered patent attorneys ely, firm thaving as a member a gent) and the names of up to news or agents. If no name is | 1 |
| 3. ASSIGNEE NAME AND RESIDENCE DATA TO | D BE PRINTED ON | THE PATENT (print or typ | c) | |
| PLEASE NOTE: Unless an assignee is identified recordation as set forth in 37 CFR 3.11. Completi- | d below, no assignee on of this form is NC | data will appear on the po T a substitute for filing an | itent. If an assignee is identifi assignment. | ied below, the document has been filed i |
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|----------------|----------------------|---------------------|------------------|
| 09/382,372 | 08/24/1999 | JEFFRY JOVAN PHILYAW | PHLY-24738 | 5133 |
| 25883 75 | 590 10/25/2006 | | EXAM | INER |
| HOWISON & Al | RNOTT, L.L.P | | KANG, | PAUL H |
| P.O. BOX 741715 | | | ART UNIT | PAPER NUMBER |
| DALLAS, TX 753 | 74-1715 | | 21.61 | |

DATE MAILED: 10/25/2006

Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

(application fried after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) |
|---|---|--|
| | 09/382.372 | PHILYAW ET AL. |
| Notice of Allowability | Examiner | Art Unit |
| | | 2144 |
| | Paul H. Kang | 2144 |
| The MAILING DATE of this communication app All daims being allowable, PROSECUTION ON THE MERITS Is herewith (or previously mailed), a Notice of Allowance (PTOL-84 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3 | S (OR REMAINS) CLUSED I 5) or other appropriate comm RIGHTS. This application is 13 and MPEP 1308. | unication will be mailed in due course. THIS subject to withdrawal from issue at the initiative |
| 1. This communication is responsive to the Terminal Discla | imer filed September 11, 200 | 6. |
| 2. ☑ The allowed claim(s) is/are <u>1-5</u> . | | |
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| Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | E" of this communication to fil NMENT of this application. | e a reply complying with the requirements |
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| Attachment(s) | 5. Notice of | Informal Patent Application |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-94 | | Summary (PTO-413), |
| 3. ☐ Information Disclosure Statements (PTO/SB/08), | | o./Mail Date 's A rmendatios t/Comment |
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| Or Diologica Material | 9. 🗌 Other | _ |
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Application/Control Number: 09/382,372

Art Unit: 2144

Terminal Disclaimer

The terminal disclaimers filed on September 11, 2006 disclaiming the terminal portion of
any patent granted on this application which would extend beyond the expiration date of U.S.
 Pat. No. 6,829,650 B1 and 6,981,059 B1 have been reviewed and are accepted. The terminal
disclaimers have been recorded.

Any inquiry concerning this communication or earlier communications from the
examiner should be directed to Paul H. Kang whose telephone number is (571) 272-3882. The
examiner can normally be reached on 9 hour flex. First Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Vaughn can be reached on (571) 272-3922. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

PAUL H. KANG
PRIMARY PATENT EXAMINER

PART B - FEE(S) TRANSMITTAL

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| Filing Date: | 24 | -Aug-1999 | | | | |
| Title of Invention: | METHOD AND APPARATUS FOR MATCHING A USER'S USE PROFILE IN COMMERCE WITH A BROADCAST | | | | | |
| First Named Inventor/Applicant Name: | JEFFRY JOVAN PHILYAW | | | | | |
| Filer: | Gregory Michael Howison/Deborah DeArmond | | | | | |
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